## FEDERAL DEPOSIT INSURANCE CORPORATION WASHINGTON, D.C. 20429

#### FORM 8-K

#### CURRENT REPORT PURSUANT TO SECTION 13 OR 15(d) OF THE SECURITIES EXCHANGE ACT OF 1934

Date of report (Date of earliest event reported): December 8, 2021

#### NORTHEAST BANK

(Exact name of registrant as specified in its charter)

Maine

(State or other jurisdiction of incorporation)

27 Pearl Street Portland, Maine

(Address of principal executive offices)

Registrant's telephone number, including area code (207) 786-3245

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

#### Securities registered pursuant to Section 12(b) of the Exchange Act:

|                                       | Trading   | Name of each exchange on    |
|---------------------------------------|-----------|-----------------------------|
| Title of each class                   | Symbol(s) | which registered            |
| Voting Common Stock, \$1.00 par value | NBN       | The NASDAQ Stock Market LLC |

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

01-0029040 (IRS Employer Identification

(IRS Employer Identification No.)

04101 (Zip Code)

# Item 5.02 Departure of Directors or Certain Officers, Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers.

### (b) Departure of Executive Officer

On December 8, 2021 and effective January 10, 2022, Brian Pinheiro resigned from all of his positions at the Bank, including Chief Risk Officer of the Bank.

#### SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunder duly authorized.

#### Northeast Bank

By: <u>/s/ Jean-Pierre Lapointe</u>

Jean-Pierre Lapointe Chief Financial Officer

Date: December 8, 2021