## FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL OMB Number: Estimated average burden hours per response: 0.5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| 1. Name and Address of Reporting Person* <u>Lapointe Jean-Pierre</u>             |   |  |  |       | 2. Issuer Name and Ticker or Trading Symbol NORTHEAST BANCORP /ME/ [ NBN ] |   |      |         |                                    |                                     |                     |   |                  |                     | heck all ap<br>Dire   | oplicable)<br>ector                              |   | Owner  |
|--|---|--|--|-------|--|---|------|---------|------------------------------------|-------------------------------------|---------------------|---|------------------|---------------------|---|--|---|--|
| (Last) (First) (Middle) C/O NORTHEAST BANCORP                                    |   |  |  |       |  | 3. Date of Earliest Transaction (Month/Day/Year) 11/01/2018 |      |         |                                    |                                     |                     |   |                  |                     | X bel   | ,  | Other (specify below)   |  |
| (Street)  LEWISTON ME 04240  (City) (State) (Zip)                                |   |  |  | 4. If | 4. If Amendment, Date of Original Filed (Month/Day/Year)                   |   |      |         |                                    |                                     |                     |   | 6. Lin           | ne)<br>X Foi<br>Foi | vidual or Joint/Group Filing (Check Applicable  Form filed by One Reporting Person  Form filed by More than One Reporting  Person |  |   |  |
| Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned |   |  |  |       |  |   |      |         |                                    |                                     |                     |   |                  |                     |   |  |   |  |
| 1. Title of Security (Instr. 3)  2. Transac Date (Month/Date)                    |   |  |  |       | 2A. Deen<br>Executio<br>if any<br>(Month/D                                 |   |      | n Date, | Code (                             | Transaction Disp<br>Code (Instr. 5) |                     | Securities Acquired (A)<br>posed Of (D) (Instr. 3, 4  |                  |                     | d Secu<br>Bene  | nount of<br>Irities<br>eficially<br>ed Following | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                |
|  |   |  |  |       |  |   |      |         | Code                               | v                                   | Amount              | (A<br>(D  | ) or<br>)        | Price               | Tran  | saction(s)<br>r. 3 and 4)                        |   | (Instr. 4)   |
| Voting Common Stock 11/01/2  |   |  |  |       | /2018  | 2018  |      |         | A                                  |                                     | 100                 |   | A                | \$18.               | 95  | 6,600  | D <sup>(1)</sup>  |  |
|  |   | Та   | ble II - D.<br>(e                              |       |  |   |      |         |                                    |                                     | sed of,<br>onvertib |   |                  |                     | Owne  | d  |   |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                              | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | Date, | 4.<br>Transa<br>Code (<br>8)   |   | n of |         | 6. Date E<br>Expiratio<br>(Month/D | n Date                              | е                   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative<br>Security (Instr.<br>and 4) |                  | str. 3              | 8. Price of<br>Derivative<br>Security<br>(Instr. 5)   |  | Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|  |   |  |  |       | Code   | v   | (A)  | (D)     | Date<br>Exercisal                  |                                     | Expiration<br>Date  | Title   | Nun<br>of<br>Sha |                     |   |  |   |  |

## **Explanation of Responses:**

1. Includes restricted stock awards granted to the reporting person under the Northeast Bancorp Amended and Restated 2010 Stock Option and Incentive Plan which vest as follows: 2,000 restricted shares vesting in three equal annual installments, commencing November 8, 2020 and 4,000 restricted shares vesting in three equal annual installments, commencing August 14, 2021.

> 11/01/2018 /s/ Jean-Pierre Lapointe

\*\* Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.