

# FORM 4

Federal Deposit Insurance Corporation  
Washington, D.C. 20429

OMB APPROVAL

Check box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b).

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

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(PLEASE PRINT OR TYPE ALL RESPONSES)

|   |             |                   |   |  |   |  |  |  |
|---|-------------|-------------------|---|--|---|--|--|--|
| 1. Name of Reporting Person (Last, First, MI)*<br>Wallingford, Judith E |             |                   | 2. Issuer Name and Ticker or Trading Symbol<br>Northeast Bank [NBN]   |  |   | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable)<br><input checked="" type="checkbox"/> Director <input type="checkbox"/> 10% Owner<br><input type="checkbox"/> Officer (Give title below) <input type="checkbox"/> Other (Specify below) |  |  |
| Street Address<br>C/O Northeast Bank<br>27 Pearl Street                 |             |                   | 3. Date of Earliest Transaction Required to be Reported (Month/Day/Year)<br>8/23/2022   |  | 4. If Amendment, Date Original Filed (Month/Day/Year) |  |  |  |
| City<br>Portland  | State<br>ME | ZIP Code<br>04101 | 6. Individual or Joint/Group Filing (Check applicable box)<br><input checked="" type="checkbox"/> Form filed by One Reporting Person<br><input type="checkbox"/> Form filed by More than One Reporting Person |  |   |  |  |  |

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date if any, (Month/Day/Year) | 3. Transaction Code (Instr. 8) |   | 4. Securities Acquired (A) or Disposed of (D) (Instrs. 3, 4, and 5) |            |         | 5. Amount of Securities Beneficially Owned Following Reported Transactions (Instr. 3 and 4) | 6. Ownership Form Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|------------|---------|---|---|---|
|                                 |                                      |  | Code                           | V | Amount  | (A) or (D) | Price   |   |   |   |
| Voting Common Stock             | 8/23/2022                            |  | A                              |   | 620 (1)   | A          | \$40.50 | 29,797  | D   |   |
|                                 |                                      |  |                                |   |   |            |         |   |   |   |
|                                 |                                      |  |                                |   |   |            |         |   |   |   |
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**Table II - Derivative Securities Acquired, Disposed of or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)**

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date if any, (Month/Day/Year) | 4. Transaction Code (Instr. 8) |   | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instrs. 3, 4, and 5) |     | 6. Date Exercisable and Expiration Date (Month/Day/Year) |                 | 7. Title and Amount of Underlying Securities (Instrs. 3 and 4) |                            | 8. Price of Derivative Security (Instr. 5) | 9. Number of Derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4) | 10. Ownership Form of Derivative Securities: Direct (D) or Indirect (I) (Instr. 4) | 11. Nature of Indirect Beneficial Ownership (Instr. 4) |
|--|--|--------------------------------------|--|--------------------------------|---|--|-----|--|-----------------|--|----------------------------|--|--|--|--|
|  |  |                                      |  | Code                           | V | (A)  | (D) | Date Exercisable   | Expiration Date | Title  | Amount or Number of Shares |  |  |  |  |
|  |  |                                      |  |                                |   |  |     |  |                 |  |                            |  |  |  |  |
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|  |  |                                      |  |                                |   |  |     |  |                 |  |                            |  |  |  |  |

Explanation of Responses:

(1) Represents a restricted stock award granted to the reporting person under the Northeast Bank 2021 Stock Option and Incentive Plan. The restricted shares vest immediately.

/s/ Jean-Pierre Lapointe, Attorney-in-fact

\*\*Signature of Reporting Person

8/24/2022

Date

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