
**FEDERAL DEPOSIT INSURANCE CORPORATION
WASHINGTON, D.C. 20429**

**AMENDMENT NO. 1 TO FORM 8-K
CURRENT REPORT PURSUANT TO
SECTION 13 OR 15(d) OF THE
SECURITIES EXCHANGE ACT OF 1934**

Date of report (Date of earliest event reported): April 30, 2021

NORTHEAST BANK

(Exact name of registrant as specified in its charter)

Maine

(State or other jurisdiction of
incorporation)

01-0029040

(IRS Employer Identification
No.)

**27 Pearl Street
Portland, Maine**

(Address of principal executive
offices)

04101

(Zip Code)

Registrant's telephone number, including area code **(207) 786-3245**

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

- Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)
- Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)
- Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))
- Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.

Item 5.02 Departure of Directors or Certain Officers; Election of Directors; Appointment of Certain Officers; Compensatory Arrangements of Certain Officers

(e) At a special meeting of Northeast Bank’s shareholders held on April 30, 2021, the shareholders approved the Northeast Bank 2021 Stock Option and Incentive Plan (the “2021 Plan”). The 2021 Plan permits awards to employees, officers and non-employee directors in the form of incentive stock options, non-qualified stock options, stock appreciation rights, restricted stock units, restricted stock awards, unrestricted stock awards, cash-based awards, dividend equivalent rights or any combination thereof. The maximum number of shares of voting common stock that may be issued pursuant to the 2021 Plan is 550,000. The 2021 Plan will expire on April 30, 2031. A summary of the material features of the 2021 Plan is set forth in the Bank’s definitive proxy statement for the special meeting, filed with the FDIC on March 19, 2021.

Item 5.07. Submission of Matters to a Vote of Security Holders.

On April 30, 2021, Northeast Bank held a special meeting of shareholders. At the special meeting, the shareholders approved a proposal to approve the Northeast Bank 2021 Stock Option and Incentive Plan.

The voting results of the proposal submitted at the 2021 special meeting of shareholders are set forth below:

1. To approve the Northeast Bank 2021 Stock Option and Incentive Plan:

FOR	AGAINST	ABSTAIN	BROKER NON-VOTES
6,067,474	490,919	257	-

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunder duly authorized.

NORTHEAST BANK

By: /s/ Jean-Pierre Lapointe

Name: Jean-Pierre Lapointe

Title: Chief Financial Officer

Date: May 5, 2021