## FEDERAL DEPOSIT INSURANCE CORPORATION WASHINGTON, D.C. 20429

# FORM 8-K

## **CURRENT REPORT PURSUANT TO**

### SECTION 13 OR 15(d) OF THE

#### **SECURITIES EXCHANGE ACT OF 1934**

Date of report (Date of earliest event reported): April 30, 2021

# NORTHEAST BANK

(Exact name of registrant as specified in its charter)

Maine

(State or other jurisdiction of incorporation)

01-0029040 (IRS Employer Identification No.)

27 Pearl Street Portland, Maine (Address of principal executive offices)

04101 (Zip Code)

Registrant's telephone number, including area code (207) 786-3245

Check the appropriate box below if the Form 8-K filing is intended to simultaneously satisfy the filing obligation of the registrant under any of the following provisions (see General Instruction A.2. below):

□ Written communications pursuant to Rule 425 under the Securities Act (17 CFR 230.425)

□ Soliciting material pursuant to Rule 14a-12 under the Exchange Act (17 CFR 240.14a-12)

□ Pre-commencement communications pursuant to Rule 14d-2(b) under the Exchange Act (17 CFR 240.14d-2(b))

□ Pre-commencement communications pursuant to Rule 13e-4(c) under the Exchange Act (17 CFR 240.13e-4(c))

Indicate by check mark whether the registrant is an emerging growth company as defined in Rule 405 of the Securities Act of 1933 (17 CFR §230.405) or Rule 12b-2 of the Securities Exchange Act of 1934 (17 CFR §240.12b-2). Emerging growth company  $\Box$ 

If an emerging growth company, indicate by check mark if the registrant has elected not to use the extended transition period for complying with any new or revised financial accounting standards provided pursuant to Section 13(a) of the Exchange Act.  $\Box$ 

# Item 5.07. Submission of Matters to a Vote of Security Holders.

On April 30, 2021, Northeast Bank held a special meeting of shareholders. At the special meeting, the shareholders approved a proposal to approve the Northeast Bank 2021 Stock Option and Incentive Plan.

The voting results of the proposal submitted at the 2021 special meeting of shareholders are set forth below:

1. To approve the Northeast Bank 2021 Stock Option and Incentive Plan:

FOR	AGAINST	ABSTAIN	BROKER NON-VOTES
6,067,474	490,919	257	-

## SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, as amended, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunder duly authorized.

#### NORTHEAST BANK

By: <u>/s/ Jean-Pierre Lapointe</u>

Name: Jean-Pierre Lapointe Title: Chief Financial Officer

Date: April 30, 2021